### I.A. TANGOREN, M.D., PLLC DERMATOLOGY & DERMATOLOGIC SURGERY

2949 ERIE BLVD. EAST, SUITE 110 SYRACUSE, NY 13224 (315) 424-1430 FAX (315) 424-177**9** 

### NOTICE OF PRIVACY PRACTICES

This Notice is effective February 18, 2010 Revised May, 2013 Revised April 1, 2016

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION
ABOUT YOU MAY BE USED AND DISCLOSED AND
HOW YOU CAN GET ACCESS TO THIS INFORMATION & RESTRICT USE AND
DISCLOSURES OF THIS INFORMATION.
PLEASE REVIEW IT CAREFULLY

### WE ARE REQUIRED BY LAW TO PROTECT MEDICAL INFORMATION ABOUT YOU.

We are required by law to protect the privacy of medical information about you and that identifies you. This medical information may be information about health care we provide to you or payment for health care provided to you. It may also be information about your past, present, or future medical condition.

We are also required by law to provide you with this Notice of Privacy Practices explaining our legal duties and privacy practices with respect to medical information. We are legally required to follow the terms of this Notice. In other words, we are only allowed to use and disclose medical information in the manner that we have described in this Notice.

We may change the terms of this Notice in the future. We reserve the right to make changes and to make the new Notice effective for <u>all</u> medical information that we maintain. If we make changes to the Notice, we will:

- Provide copies of the new Notice in our waiting area.
- Have copies of the new Notice available upon request (you may always contact our Privacy Officer at <u>315-424-1430 or privacyofficer@drtangoren.com</u> to obtain a copy of the current Notice).
- Post the new Notice on our Web page: www.drtangoren.com

### The rest of this Notice will:

- Discuss how we may use and disclose medical information about you.
- Explain your rights with respect to medical information about you.
- Describe how and where you may file a privacy-related complaint.

If, at any time, you have questions about information in this Notice or about our privacy policies, procedures or practices, you can contact our Privacy Officer at <u>315-579-9037 or privacyofficer@drtangoren.com</u>.

## WE MAY USE AND DISCLOSE MEDICAL INFORMATION ABOUT YOU IN SEVERAL CIRCUMSTANCES

We use and disclose medical information about patients every day. This section of our Notice explains in some detail how we may use and disclose medical information about you in order to provide health care, obtain payment for that health care, and operate our business efficiently. This information is typically referred to as TPO: Treatment, Payment and Health Care Operations. This section then briefly mentions several other circumstances in which we may use or disclose medical information about you. For more information about any of these uses or disclosures, or about any of our privacy policies, procedures or practices, contact our Privacy Officer at 315-579-9037 or privacyofficer@drtangoren.com.

#### 1. Treatment

We may use and disclose medical information about you to provide health care treatment to you. In other words, we may use and disclose medical information about you to provide, coordinate or manage your health care and related services. This may include communicating with other health care providers regarding your treatment and coordinating and managing your health care with others. Examples of these communications include phone conversations regarding your medical care and providing other health care providers with copies of the medical records we generate and maintain on your behalf. These communications also include our attempts to contact you when necessary to convey test results or treatment recommendations. If we are unable to reach you, we may leave a message asking for a return call at any of the numbers you have provided us with.

**Example:** Jane is a patient at a doctor's office. The receptionist may use medical information about Jane when setting up an appointment. The nurse practitioner will likely use medical information about Jane when reviewing Jane's condition and ordering a blood test. The laboratory technician will likely use medical information about Jane when processing or reviewing her blood test results. If, after reviewing the results of the blood test, the nurse practitioner concludes that Jane should be referred to a specialist, the nurse may disclose medical information about Jane to the specialist to assist the specialist in providing appropriate care to Jane. Since we are specialists, we may share your medical information with your primary care provider and/or other specialists involved in your care.

We will also contact you with appointment reminders and other messages regarding your care (reminders that you are overdue for recommended treatment or examination). We may leave voice messages on land line phone answering machines or voice mail on cellular phone lines. Appointment reminders may be sent by automated or personal voice message, text message or email. If you provide us with a cellular phone number it may be used to contact you by phone call regarding your care or to contact you with an appointment reminder either via voice mail or text communication. You may opt out of voice or text messages if you have provided us with a cellular number. If you provide an email address we will send appointment reminders to the email address provided. We will not communicate information regarding your medical care or treatment via your unsecured email and use only phone calls and our patient portal to communicate with you securely about your medical conditions and treatment.

### 2. Payment

We may use and disclose medical information about you to obtain payment for health care services that you received. This means that, within the office, we may <u>use</u> medical information about you to arrange for payment (such as preparing bills and managing accounts). We also may <u>disclose</u> medical information about you to others (such as insurers, collection agencies, and consumer reporting agencies). In some instances, we may disclose medical information about you to an insurance plan <u>before</u> you receive certain health care services because, for example, we may want to know whether the insurance plan will pay for a particular service.

**Example:** Jane is a patient at a doctor's office and she has private insurance. During an appointment with a nurse practitioner, the nurse practitioner performs a skin biopsy. The doctor's office billing clerk will use medical information about Jane when he prepares a bill for the services provided at the appointment and the skin biopsy. Medical information about Jane will be disclosed to her insurance company when

the billing clerk sends in the bill. Medical information and billing information will be disclosed to the pathology laboratory who evaluates the biopsy specimen, reports results to the doctor's office and bills the insurance company and/or Jane for their services.

**Example:** The nurse practitioner referred Jane to a specialist. The specialist recommended several complicated and expensive tests. The specialist's billing clerk may contact Jane's insurance company before the specialist runs the tests to determine whether the plan would pay for the test.

#### 3. Healthcare Operations

We may use and disclose medical information about you in performing a variety of business activities that we call "health care operations." These "health care operations" activities allow us to, for example, improve the quality of care we provide and reduce health care costs. For example, we may use or disclose medical information about you in performing the following activities:

- Reviewing and evaluating the skills, qualifications, and performance of health care providers taking care of you.
- Providing training programs for students, trainees, health care providers or non-health care professionals to help them practice or improve their skills.
- Cooperating with outside organizations that evaluate, certify or license health care providers, staff or facilities in a particular field or specialty.
- Reviewing and improving the quality, efficiency and cost of care that we provide to you and our other patients.
- Improving health care and lowering costs for groups of people who have similar health problems and helping manage and coordinate the care for these groups of people.
- Cooperating with outside organizations that assess the quality of the care others and we provide, including government agencies and private organizations.
- Planning for our organization's future operations.
- Resolving grievances within our organization.
- Reviewing our activities and using or disclosing medical information in the event that control of our organization significantly changes.
- Working with others (such as lawyers, accountants and other providers) who assist us to comply with this Notice and other applicable laws.

**Example:** Jane was diagnosed with diabetes. The medical practice used Jane's medical information — as well as medical information from all of the other medical practice patients diagnosed with diabetes — to develop an educational program to help patients recognize the early symptoms of diabetes. (Note: The educational program would not identify any specific patients without their permission).

**Example:** Jane complained that she did not receive appropriate health care. The medical practice reviewed Jane's record to evaluate the quality of the care provided to Jane. The health department also discussed Jane's care with an attorney.

**4. Business Associates:** We may disclose your health information to contractors, agents and other associates who need information to assist us in carrying out our business operations. Our contracts with them require that they protect the privacy of your health information.

**Example:** We are required by law to show we train our physicians and providers how to code and bill for services accurately and educate our staff about health care fraud prevention. From time to time we may hire outside auditors to review our medical records and billing and coding practices to be sure we are complying with all state and federal laws regarding these matters. In this case, consultants sign agreements certifying that your medical information is held confidentially and will not be disclosed. This agreement, required by law, is called a Business Associates Agreement.

**5.** Appointment Reminders and Recommended Treatment Reminders. In the course of providing treatment to you, we may use your health information to contact you (by phone (including leaving messages on answering machines for land line numbers or voice mail for cellular numbers), e-mail, text

or in writing) with a reminder that you have an appointment for treatment or services, or that you are overdue for recommended evaluation or treatment. Reminders sent via e-mail or text will contain limited information and will not include information regarding your medical conditions or treatment regimens.

We will also contact you with appointment reminders and other messages regarding your care (reminders that you are overdue for recommended treatment or examination). If able to, we will leave voice messages on answering machines or voice mail. Appointment reminders may be sent by automated or personal voice message, text message or email. If you provide us with a cellular phone number it may be used to contact you by phone call regarding your care or to contact you with an appointment reminder either via voice mail or text communication. You may opt out of voice or text messages if you have provided us with a cellular number. If you provide an email address we will send appointment reminders to the email address provided. We will not communicate information regarding your medical care or treatment via your unsecured email and use only phone calls and our patient portal to communicate with you securely about your medical conditions and treatment.

Example: You have a history of skin cancer. We have recommended you have a full skin exam to evaluate you for recurrences or new skin cancers every twelve months. You cancelled your annual appointment and are overdue for this evaluation. We may call you or write to you regarding the need for your annual skin exam.

As a service to our clients, we provide a courtesy appointment reminder calls/emails and/or texts and possibly other important calls that may be placed using a prerecorded message. By providing your cell, email and/or home phone numbers, you consent to receiving such calls, emails and/or texts at these addresses or numbers. You have the right to opt out of receiving messages by these means and can request confidential communications by completing our Request for Alternate Means of Communications Form. These forms are available in the office, or are available by writing to our Privacy Officer at our office address or privacyofficer@drtangoren.com.

### 5. Persons Involved in Your Care

We may disclose medical information about you to a relative, close personal friend or any other person you identify if that person is involved in your care and the information is relevant to your care. If the patient is a minor, we may disclose medical information about the minor to a parent, guardian or other person responsible for the minor except in limited circumstances. For more information on the privacy of minors' information, contact our Privacy Officer at 315-424-1430 or privacyofficer@drtangoren.com.

We may also use or disclose medical information about you to a relative, another person involved in your care or possibly a disaster relief organization (such as the Red Cross) if we need to notify someone about your location or condition.

You may ask us at any time not to disclose medical information about you to persons involved in your care. We will agree to your request and not disclose the information except in certain limited circumstances (such as emergencies) or if the patient is a minor. If the patient is a minor, we may or may not be able to agree to your request. Please complete our Patient Authorization to Disclose Protected Health Information Form if you wish to restrict your Personal Health Information. You may also use this form if you would like to make us aware of someone you would like us to share your information with. These forms are available in the office, or are available by writing to our Privacy Officer at our office address or privacyofficer@drtangoren.com.

**Example**: Jane's husband regularly comes to the doctor's office with Jane for her appointments and he helps her with her medication. When the nurse practitioner is discussing a new medication with Jane, Jane invites her husband to come into the private room. The nurse practitioner discusses the new medication with Jane and Jane's husband. Later Jane's husband calls with a question about the medication. The nurse practitioner or a member of her team may answer the husband's questions regarding Jane's medication.

### 6. Required by Law

We will use and disclose medical information about you whenever we are required by law to do so. There are many state and federal laws that require us to use and disclose medical information. For example,

state law requires us to report gunshot wounds and other injuries to the police and to report known or suspected child abuse or neglect to the Department of Social Services. We will comply with those state laws and with all other applicable laws.

### 7. National Priority Uses and Disclosures

When permitted by law, we may use or disclose medical information about you without your permission for various activities that are recognized as "national priorities." In other words, the government has determined that under certain circumstances (described below), it is so important to disclose medical information that it is acceptable to disclose medical information without the individual's permission. We will only disclose medical information about you in the following circumstances when we are permitted to do so by law. Below are brief descriptions of the "national priority" activities recognized by law. For more information on these types of disclosures, contact our Privacy Officer at 315-424-1430 or privacyofficer@drtangoren.com.

- Threat to health or safety: We may use or disclose medical information about you if we believe it is necessary to prevent or lessen a serious threat to health or safety.
- Public health activities: We may use or disclose medical information about you for public health activities. Public health activities require the use of medical information for various activities, including, but not limited to, activities related to investigating diseases, reporting child abuse and neglect, monitoring drugs or devices regulated by the Food and Drug Administration, and monitoring work-related illnesses or injuries. For example, if you have been exposed to a communicable disease (such as a sexually transmitted disease), we may report it to the State and take other actions to prevent the spread of the disease.
- Abuse, neglect or domestic violence: We may disclose medical information about you to a government authority (such as the Department of Social Services) if you are an adult and we reasonably believe that you may be a victim of abuse, neglect or domestic violence.
- Health oversight activities: We may disclose medical information about you to a health oversight agency – which is basically an agency responsible for overseeing the health care system or certain government programs. For example, a government agency may request information from us while they are investigating possible insurance fraud.
- **Court proceedings:** We may disclose medical information about you to a court or an officer of the court (such as an attorney). For example, we would disclose medical information about you to a court if a judge orders us to do so.
- Law enforcement: We may disclose medical information about you to a law enforcement
  official for specific law enforcement purposes. For example, we may disclose limited medical
  information about you to a police officer if the officer needs the information to help find or
  identify a missing person.
- **Coroners and others:** We may disclose medical information about you to a coroner, medical examiner, or funeral director or to organizations that help with organ, eye and tissue transplants.
- Workers' compensation: We may disclose medical information about you in order to comply with workers' compensation laws.
- Research organizations: We may use or disclose medical information about you to research organizations if the organization has satisfied certain conditions about protecting the privacy of medical information.
- Certain government functions: We may use or disclose medical information about you for certain government functions, including but not limited to military and veterans' activities and national security and intelligence activities. We may also use or disclose medical information about you to a correctional institution in some circumstances.

### 8. Authorizations

Other than the uses and disclosures described above (#1-7), we will not use or disclose medical information about you without the "authorization" – or signed permission – of you or your personal representative. In some instances, we may wish to use or disclose medical information about you and we may contact you to ask you to sign an authorization form. In other instances, you may contact us to ask us to disclose medical information and we will ask you to sign an authorization form.

If you sign a written authorization allowing us to disclose medical information about you, you may later revoke (or cancel) your authorization in writing (except in very limited circumstances related to obtaining insurance coverage). If you would like to revoke your authorization, you may write us a letter revoking your authorization. If you revoke your authorization, we will follow your instructions except to the extent that we have already relied upon your authorization and taken some action.

The following uses and disclosures of medical information about you will only be made with your authorization (signed permission):

| Uses and disclosures for marketing purposes.  |
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| Uses and disclosures that constitute the sales of medical information about you.      |
| Most uses and disclosures of psychotherapy notes, if we maintain psychotherapy notes. |
| Any other uses and disclosures not described in this Notice.                          |

### YOU HAVE RIGHTS WITH RESPECT TO MEDICAL INFORMATION ABOUT YOU

You have several rights with respect to medical information about you. This section of the Notice will briefly mention each of these rights. If you would like to know more about your rights, please contact our Privacy Officer at 315-579-9037 or privacyofficer@drtangoren.com.

### 1. Right to a Copy of This Notice

You have a right to have a paper copy of our Notice of Privacy Practices at any time. In addition, a copy of this Notice will always be available in our waiting area. If you would like to have a copy of our Notice, ask the receptionist for a copy or contact our Privacy Officer at <u>315-579-9037 or</u> privacyofficer@drtangoren.com.

### 2. Right of Access to Inspect and Copy

You have the right to inspect (which means see or review) and receive a copy of medical information about you that we maintain in certain groups of records. If we maintain your medical records in an Electronic Health Record (EHR) system, you may obtain an electronic copy of your medical records. You may also instruct us in writing to send an electronic copy of your medical records to a third party. If you would like to inspect or receive a copy of medical information about you, you must provide us with a request in writing. You may complete our HIPAA complaint **Release of Information Form** (to send records to others), complete our **Access Request Form** (to review or obtain a copy for yourself) or write us a letter requesting access to your medical record. We may charge a fee for the costs of copying, printing, mailing or other supplies associated with your request for copies.

We may deny your request in certain circumstances. If we deny your request, we will explain our reason for doing so in writing. We will also inform you in writing if you have the right to have our decision reviewed by another health care professional. The person conducting the review will not be the person who denied your request. You have additional rights to appeal a denial to the New York State Department of Health.

We may be able to provide you with a summary or explanation of the information. Contact our Privacy Officer for more information on these services.

#### 3. Right to Have Medical Information Amended

You have the right to have us amend (which means correct or supplement) medical information about you that we maintain in certain groups of records. If you believe that we have information that is either inaccurate or incomplete, we may amend the information to indicate the problem and notify others who have copies of the inaccurate or incomplete information. If you would like us to amend information, you must provide us with a request in writing and explain why you would like us to amend the information. You may write us a letter requesting an amendment. Your request must include a reason that supports your request for amendment.

We may deny your request in certain circumstances. If we deny your request, we will explain our reason for doing so in writing. You will have the opportunity to send us a statement explaining why you disagree with our decision to deny your amendment request and we will share your statement whenever we disclose the information in the future.

### 4. Right to an Accounting of Disclosures We Have Made

You have the right to receive an accounting (which means a detailed listing) of disclosures that we have made for the previous six (6) years. If you would like to receive an accounting, you may send us a letter requesting an accounting to our Privacy Officer.

The accounting will not include several types of disclosures, including disclosures for treatment, payment or health care operations. If we maintain your medical records in an Electronic Health Record (EHR) system, you may request that we include disclosures for treatment, payment or health care operations. The accounting will also not include disclosures made prior to April 14, 2003.

If you request an accounting more than once every twelve (12) months, we may charge you a fee to cover the costs of preparing the accounting.

### 5. Right to Request Restrictions on Uses and Disclosures

You have the right to request that we limit the use and disclosure of medical information about you for treatment, payment and health care operations. Under federal law, we must agree to your request and comply with your requested restriction(s) if:

- Except as otherwise required by law, the disclosure is to a health plan for purpose of carrying out payment of health care operations (and is not for purposes of carrying out treatment); and.
- 2. The medical information pertains solely to a health care item or service for which the health care provided involved has been paid out-of-pocket in full.

Once we agree to your request, we must follow your restrictions (except if the information is necessary for emergency treatment). You may cancel the restrictions at any time. In addition, we may cancel a restriction at any time as long as we notify you of the cancellation and continue to apply the restriction to information collected before the cancellation.

You also have the right to request that we restrict disclosures of your medical information and healthcare treatment(s) to a health plan (health insurer) or other party, when that information relates solely to a healthcare item or service for which you, or another person on your behalf (other than a health plan), has paid us for in full. Once you have requested such restriction(s), and your payment in full has been received, we must follow your restriction(s).

If you would like to request restrictions on Uses and Disclosures of your medical information you may complete our Restrict Use & Disclosure Form or write us a letter regarding your request for restrictions.

# **6.** Right to Request Confidential Communications and/or an Alternative Method of Contact You have the right to request to be contacted at a different location or by a different method. For example, you may prefer to have all written information mailed to your work address rather than to your home address.

We will agree to any reasonable request for alternative methods of contact. If you would like to request an alternative method of contact, you must complete the Alternative/Confidential Request Form or write us a letter regarding your request.

### 7. Right to Notification if a Breach of Your Medical Information Occurs

You also have the right to be notified in the event of a breach of medical information about you. If a breach of your medical information occurs, and if that information is unsecured (not encrypted), we will notify you promptly with the following information:

| A brief description of what happened;                         |
|---|
| A description of the health information that was involved;    |
| Recommended steps you can take to protect yourself from harm; |
| What steps we are taking in response to the breach; and,      |
| Contact procedures so you can obtain further information.     |

### 8. Right to Opt-Out of Fundraising Communications

If we conduct fundraising and we use communications like the U.S. Postal Service or electronic email for fundraising, you have the right to opt-out of receiving such communications from us. Please contact our Privacy Officer to opt-out of fundraising communications if you chose to do so.

### YOU MAY FILE A COMPLAINT ABOUT OUR PRIVACY PRACTICES

If you believe that your privacy rights have been violated or if you are dissatisfied with our privacy policies or procedures, you may file a written complaint either with us or with the federal government.

We will <u>not</u> take any action against you or change our treatment of you in any way if you file a complaint.

To file a written complaint with us, you may bring your complaint directly to our Privacy Officer (315-579-9037 or privacyofficer@drtangoren.com), or you may mail it to the following address:

I.A. TANGOREN, MD, PLLC ATTN: PRIVACY OFFICER 2949 ERIE BLVD. EAST SUITE 110 SYRACUSE, NY, 13224

To file a written complaint with the federal government, please use the following contact information:

U.S. Department of Health and Human Services Office for Civil Rights 200 Independence Avenue, S.W. Room 509F, HHH Building Washington, D.C. 20201

<u>Toll-Free Phone</u>: (800) 368-1019 <u>TDD Toll-Free</u>: (800) 537-7697

Website: http://www.hhs.gov/ocr/privacy/hipaa/complaints/index.html

Email: OCRMail@hhs.gov