# Worth Asset Management, LLC

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These Terms were last updated on **January 29, 2026.**

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Market data, articles, and other content on this Website are based on publicly available information and sources believed to be reliable. However, Worth Asset Management does not guarantee the accuracy, completeness, or timeliness of any information contained on this Website. All information is of a general nature and should not be construed as personalized investment advice.

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You are responsible for notifying Worth Asset Management, in writing, of any changes to your personal or financial situation or investment objectives. This is necessary for us to review, evaluate, or revise any prior recommendations or services and to implement any reasonable restrictions you wish to impose, add, or modify with respect to our investment advisory services.

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**Regulatory Disclosure**

Worth Asset Management, LLC will provide all prospective clients with a copy of our current **Form ADV Part 2A (Disclosure Brochure)** and **Form ADV Part 2B (Brochure Supplement)** for each advisory person supporting a particular client.

You may obtain copies of these disclosures through the SEC's website at [https://adviserinfo.sec.gov](https://adviserinfo.sec.gov), or you may contact us directly to request a free copy in PDF or hardcopy format.

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**Risk Disclosure**

Different types of investments and investment strategies involve varying degrees of risk. Accordingly, there can be no assurance that any specific investment or strategy will be profitable or that future performance will equal past performance.

Asset allocation strategies may be used in an effort to manage risk and enhance returns; however, they do not guarantee a profit or protect against loss. The performance of asset allocation strategies depends on the performance of the underlying investments.

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While using this Website, you may have the opportunity to link to websites operated by unaffiliated third parties. These third-party sites may collect non-public personal information about you. Worth Asset Management does not control these websites and is not responsible for their information practices. This Privacy Disclosure does not address the privacy practices of third-party websites. Please refer to the privacy policies posted on those websites for more information.

For a copy of Worth Asset Management's full Privacy Policy, please click here

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**Email Disclosures**

Worth Asset Management frequently communicates with clients and prospective clients via electronic mail ("email") and other electronic means. Your privacy and security are very important to us. We make every effort to ensure that email communications do not contain sensitive personal information.

Clients and prospective clients are reminded not to send confidential or sensitive information via email. If you need to transmit sensitive data, we can provide secure methods for delivery.

Please note: **Worth Asset Management does not accept trading instructions or money movement requests via email.**

As a registered investment adviser, emails sent or received by Worth Asset Management may be subject to review or inspection by our Chief Compliance Officer ("CCO") and/or applicable securities regulators.

If you receive an email from Worth Asset Management in error, please notify the sender immediately and delete the email and its contents.

If you have any questions regarding our email policies, please **Contact Us.**

## Testimonials

*Client testimonial disclosure (Unsolicited)* - Client testimonials, statements, opinions, and/or likes herein are unsolicited and are non-representative of all Worth Asset Management, LLC clients; the client testimonials, statements, and/or opinions are representative of the Client's experience, but the performance and experience will be unique and individual to each Client. Past performance is not indicative of future results, and investors may realize losses on these investments, including a loss of principal. The Client's testimonials, statements, opinions, and/or likes are voluntarily provided. The Client was not provided any form of monetary or non-monetary compensation for providing a testimonial regarding our services.

*Client testimonial disclosure (Solicited but not compensated)* - Client testimonials, statements, opinions, and/or likes herein was solicited and are non-representative of all Worth Asset Management, LLC clients; the Client testimonials, statements, opinions, and/or likes are representative of the Client's experience, but the performance and experience will be unique and individual to each Client. Past performance is not indicative of future results, and investors may realize losses on these investments, including a loss of principal. The Client was not provided any form of monetary or non-monetary compensation for providing a testimonial regarding our services.

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## Social Websites

Worth Asset Management may utilize third-party websites, including social media platforms, blogs, and other interactive content. All interactions with clients, prospective clients, or the general public on these platforms are considered advertisements under applicable securities regulations.

Accordingly, Worth Asset Management may retain records of content that we or third parties post or contribute to these sites. Such records are subject to review by our Chief Compliance Officer and/or securities regulators.

Information provided through these channels is for informational and educational purposes only and should not be considered investment advice or a recommendation of any investment product or strategy. Investment advice is provided only by Worth Asset Management's advisory representatives after entering into a formal advisory agreement and receiving all required client information.

If you have any questions regarding these policies, please **Contact Us.**

**Product & Strategy Disclosure Library**

## General Use

The disclosures below provide definitions and risk information for certain investment products, strategies, and financial terms that may appear in educational content, blogs, or market commentary. These disclosures are **only applicable if the term is mentioned**.

## Stocks (Equities)

Stocks, also called equities, represent ownership interests in a company. Stock values may fluctuate due to market, company, or economic conditions. Common and preferred stocks differ in rights and risks. Investments in stocks can lose value, including principal.

## Exchange-Traded Funds (ETFs)

ETFs are investment funds traded on exchanges that track an index, sector, commodity, or strategy. A prospectus containing objectives, risks, charges, and expenses is available from a financial professional and should be reviewed before investing. ETFs involve market, liquidity, portfolio, tax, and political risks.

## Real Estate Investment Trusts (REITs)

REITs invest in real estate assets and carry risks such as limited liquidity, property value changes, tenant turnover, interest rate fluctuations, and operating expenses. REIT shares can be worth more or less than the original investment. They are not FDIC- or NCUA-insured.

## Cryptocurrency

Cryptocurrencies are digital assets using blockchain and cryptography. They are highly volatile and speculative. Risks include price swings, regulatory uncertainty, cybersecurity threats, and potential illicit use. These investments are not suitable for all investors.

### Options

Options trading involves risks including market, volatility, time decay, liquidity, counterparty risk, and complex strategies. Losses can be substantial or unlimited depending on the strategy. Past performance does not guarantee future results. Options are suitable only for investors who understand the risks.

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### Bonds

Bonds are debt securities issued by corporations, municipalities, or governments. Risks include interest rate changes, credit risk, call risk, and inflation. Bond investments may lose value and returns may be lower than expected.

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### Mutual Funds

Mutual funds pool assets to invest in diversified portfolios. Risks include market fluctuations, concentration, leverage, small-cap exposure, and fees. Not all mutual funds are suitable for all investors.

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### Leveraged ETFs

Leveraged ETFs seek daily multiples of an index and are intended for short-term use. Compounding and volatility may produce returns very different from the stated multiple over longer periods. These products are high-risk and may result in total loss.

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### Alternative Investments

Alternative investments are speculative, illiquid, and intended for sophisticated investors. Risks include loss of principal, concentration, limited transparency, leverage, and higher fees.

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### Hypothetical / Back-Tested Performance

Back-tested or hypothetical results are for illustration only. They do not reflect actual trading, market conditions, taxes, liquidity, or investor behavior. Actual results may differ materially.

### Interest Rates & Treasury Instruments

Information about Treasury yields, T-Notes, or futures is for educational purposes only. Market and economic conditions affect prices and returns. Futures involve leverage and risk and may not be suitable for all investors.

### Trusts

Trusts are legal arrangements for managing assets. This information is educational and not legal or tax advice. Consult qualified professionals regarding your circumstances.

**For more information – If you have questions about our business continuity planning, you can contact us at: Worth Asset Management, LLC.**

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